

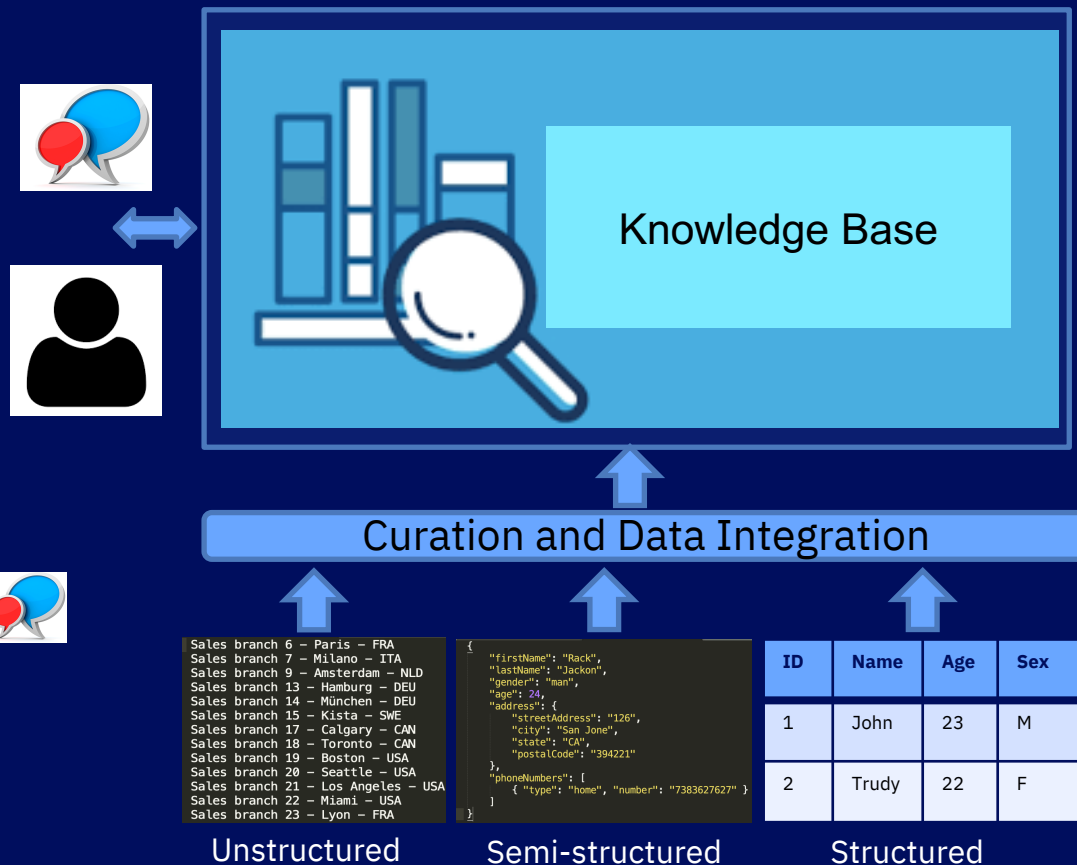
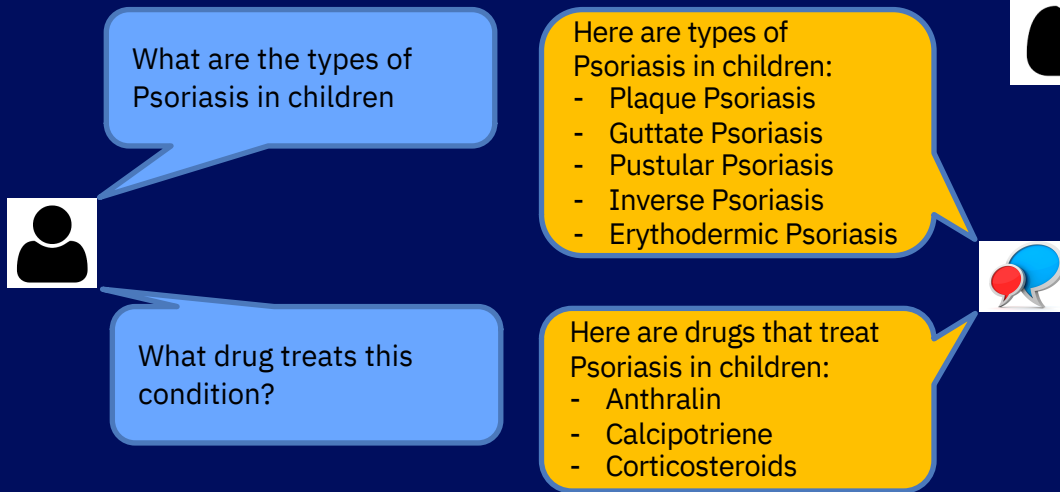
# An Ontology-Based Conversation System for Knowledge Bases

Abdul Quamar<sup>1</sup>, Chuan Lei<sup>1</sup>, Dorian Miller<sup>2</sup>, Fatma Özcan<sup>1</sup>, Jeffrey Kreulen<sup>2</sup>,  
Robert J Moore<sup>1</sup>, Vasilis Efthymiou<sup>1</sup>

<sup>1</sup>IBM Research-AI, <sup>2</sup>IBM WatsonHealth

# Conversational system for exploring domain specific knowledge bases

- Enable interaction using a natural dialog
- Characterized by:
  - Ability to understand and respond in natural language
  - Persistent context across turns
  - Interactive experience for data exploration

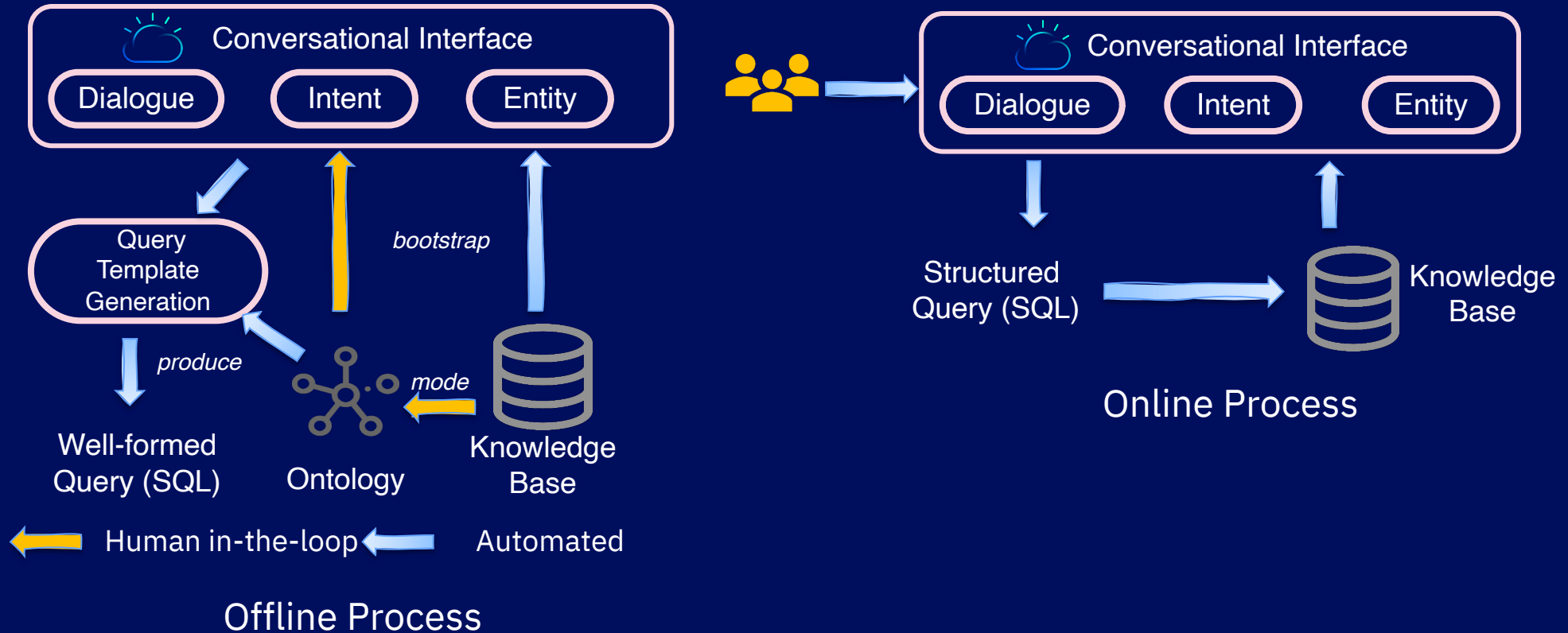


# Building conversational systems for domain specific knowledge bases

- Semantic understanding of the knowledge base data
  - Entities, relationships, hierarchies
- Design and automatic population of conversational artifacts
  - User intents: queries that can be answered by the knowledge base
  - Entities: domain vocabulary
  - Dialog: patterns of interaction with the user

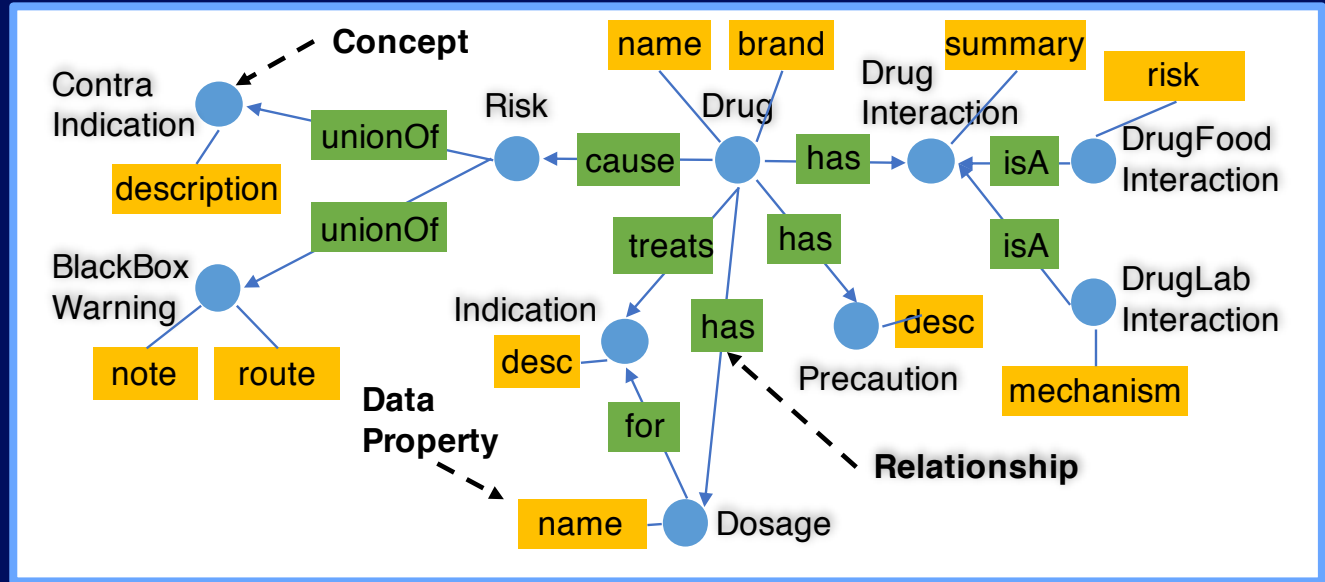
**Goal:** Develop a principled methodology to build conversation system for querying domain-specific KBs, in a domain-agnostic way

# Ontology-based system architecture



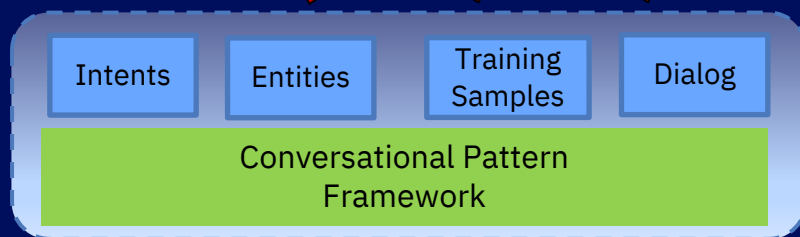
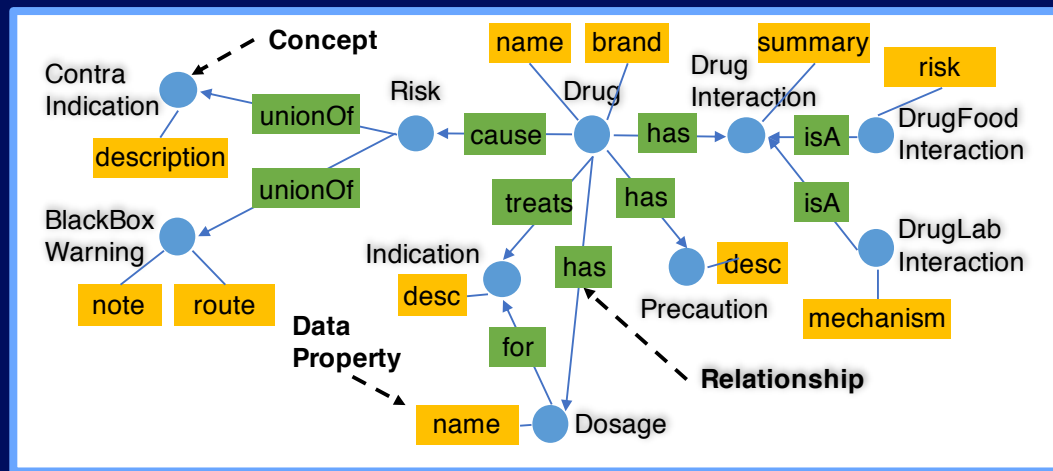
# Ontologies to describe the domain schema

- Ontologies capture the semantics of the domain schema of the knowledge base
  - Concepts
  - Data Properties
  - Relationships
- Provide a rich and expressive data model
- Powerful-object-oriented paradigm
  - Capture real world relationships: Inheritance, Union, Functional

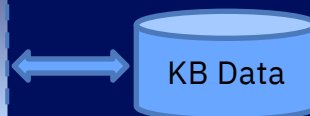


Domain knowledge captured in the ontology + SME feedback -> Enable bootstrapping the conversation space

# Bootstrapping the conversation space



## Conversation Workspace



### Intents:

- Intents express the purpose or goal expressed in the user query/input
- System uses ML Classifiers/Deep NNs to identify intents

### Entities:

- Represent real world objects relevant in the context of a user query

### Dialog:

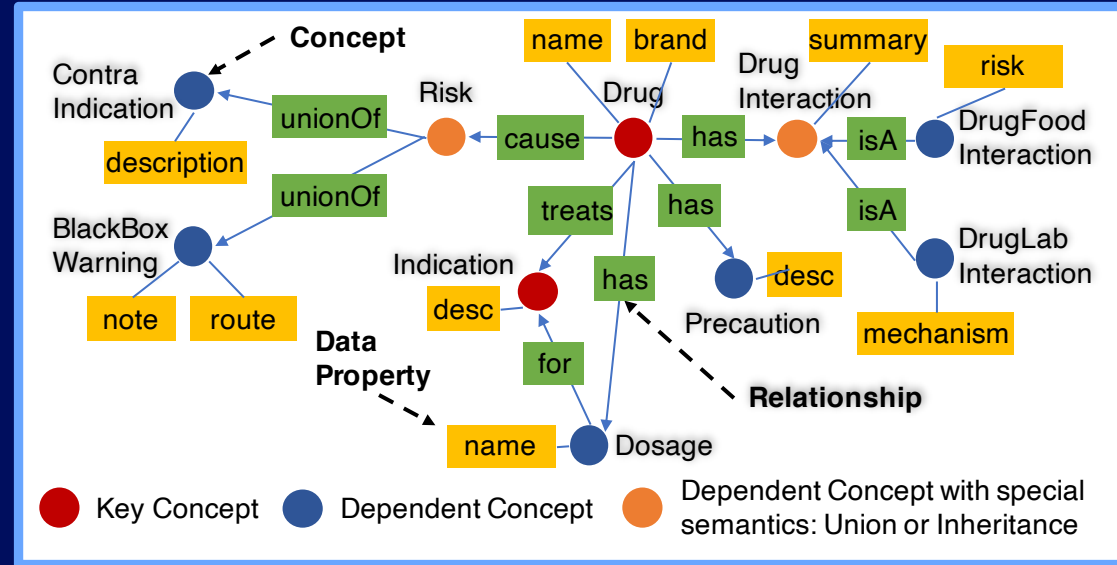
- Uses discovered intents, entities and context from the application to respond to the user

### Knowledge Base data:

- Interaction with knowledge base data through structured queries
- Stored under different data models: Json, Relational

# Bootstrapping the conversation space: Intent generation

- **Key concepts**
  - Stand on their own
  - Represent domain entities that a user is interested in
  - Identified using centrality analysis and statistical segregation
- **Dependent concepts**
  - Concepts in the neighbor-hood of key concepts
  - Use data statistics to identify categorical attributes
  - Union and Inheritance have special semantics
- **Intents: query patterns**
  - Described as subgraphs around key and dependent concepts



Leveraging the ontology structure

# Bootstrapping the conversation space: Intent generation

## Query Patterns

Pattern Show me the **Precautions** **for** <@Drug>?

Dependent Concept

Key Concept

Query Show me the **Precautions** **for** Benazepril?

Instance of Key Concept

### Look-up Pattern

Finds information about the key concept with reference to a dependent concept.

Pattern Show me the **Risks** **associated with** <@Drug>?

Dependent Concept: Union

Key Concept

Additional Patterns Show me the **Contra-Indications** **associated with** <@Drug>?

Dependent Concept

Key Concept

Show me the **Black Box Warnings** **associated with** <@Drug>?

Dependent Concept

Key Concept

### Look-up Pattern Special Semantics

Handles dependent concepts with special semantics:

- Union
- Inheritance



# Bootstrapping the conversation space: Intent generation

## Query Patterns

Pattern 1 What **Drug** **treats** <@Indication>?

Key Concept 1   Forward Relationship   Key Concept 2

Query 1 What **Drug** treats **Fever**?

Instance of Key Concept 2

Pattern 2 What **Indications** are **treated by** <@Drug>?

Key Concept 2   Inverse Relationship   Key Concept 1

Query 1 What **Indications** are **treated by** **Aspirin**?

Instance of Key Concept 1

### Direct Relationship Pattern

The pair of identified key concepts are connected via at least one direct (one-hop) relationship between them.

Pattern 1 Give me the **Drug** and its **Dosage** that **treats** <@Indication>

Key Concept 1   Intermediate Concept 1   Relationship   Key Concept 2

Query 1 Give me the **Drug** and its **Dosage** that **treats** **Fever**

Pattern 2 Give me the **Dosage** for <@Drug> that **treats** <@Indication>

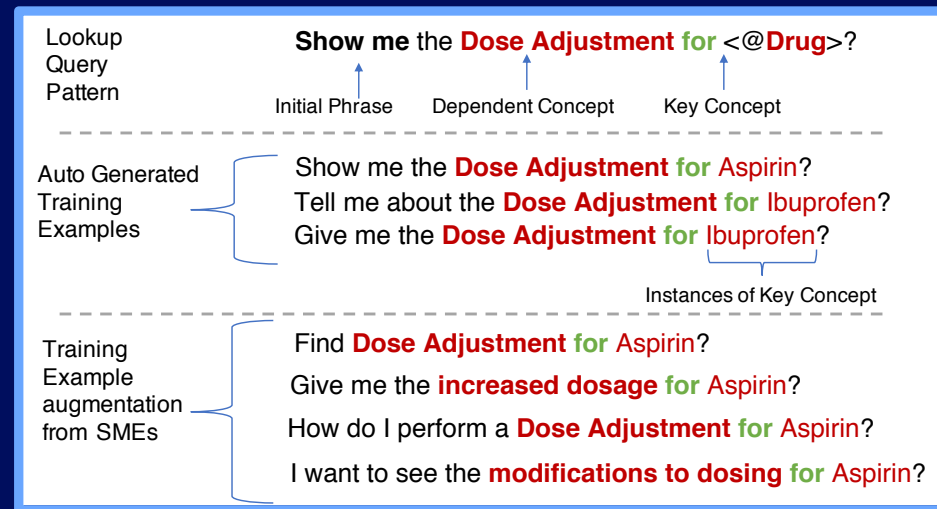
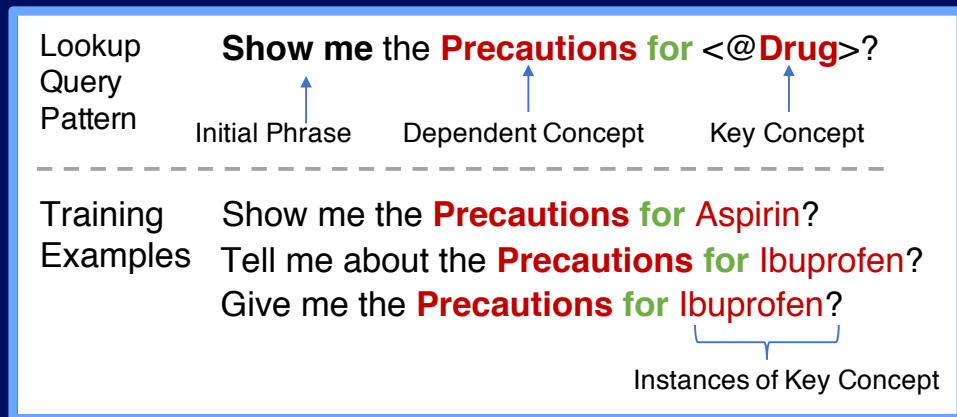
Intermediate Concept 1   Key Concept 1   Relationship   Key Concept 2

Query 2 Give me the **Dosage** for **Aspirin** that **treats** **Fever**

### In-Direct Relationship Pattern

The pair of identified key concepts are connected via multiple hops of relationships

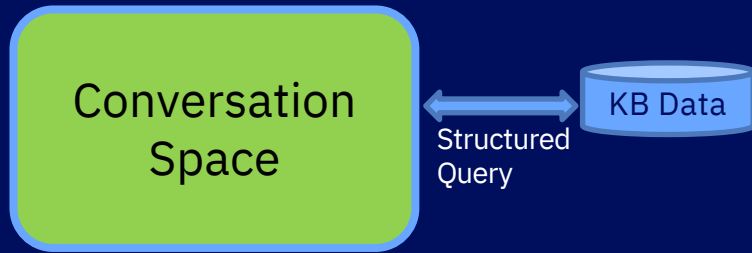
# Bootstrapping the conversation space: Generating training samples



Query patterns viewed as subgraphs over the domain ontology  
Entities and corresponding data instances used to automate generation of training examples

Augmentation of training examples using SMEs and prior user experience

# Bootstrapping the conversation space: Structured query template generation



- Each intent mapped to a structured query template
- Template populated with identified entities to generate structured query
- Structured query used to retrieve results from the KB

Lookup Query Pattern	Show me the <b>Precautions</b> for <@ <b>Drug</b> >?
	Initial Phrase      Dependent Concept      Key Concept
Training Example	Give me the <b>Precautions</b> for <b>Ibuprofen</b> ?
Structured Query(SQL)	<pre>SELECT oPrecautions.description FROM Precautions oPrecautions INNER JOIN Drug oDrug WHERE oPrecautions.for=oDrug.DrugID AND oDrug.name = 'Ibuprofen'</pre>
Structured Query Template	<pre>SELECT oPrecautions.description FROM Precautions oPrecautions INNER JOIN Drug oDrug WHERE oPrecautions.for=oDrug.DrugID AND oDrug.name = '&lt;@<b>Drug</b>&gt;'</pre>

# Bootstrapping the conversation space: Entity extraction

Entities represent the domain vocabulary of the conversational system

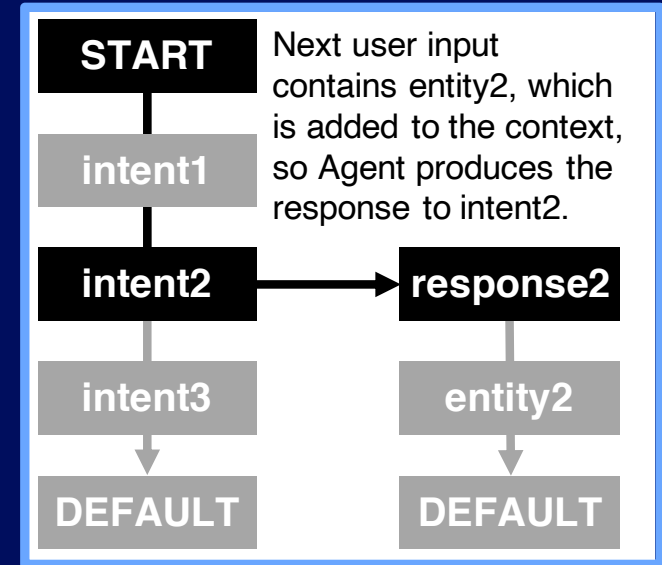
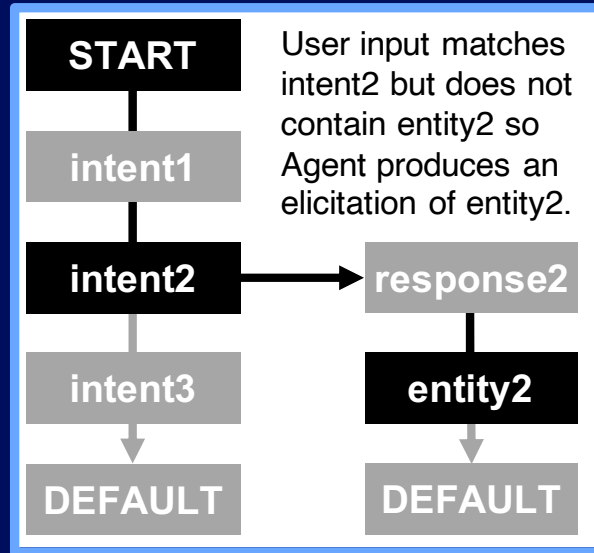
- All concepts from the ontology
- Categorical attributes
  - Data instances added from KB
- Domain specific synonyms for
  - Ontology concepts
  - Data instances

Entities:	Examples	
Concepts:	Drug, Precautions, Dosage, Indication	} Ontology concepts
Risk:	Contra-Indication, Black Box Warning	
Drug Interaction:	DrugFood Interaction, DrugLab Interaction	} Concepts grouped under Drug Interaction
Drug:	Aspirin, Ibuprofen, Citicoline, Pancreatin	
Indication:	Fever, Headache, Bronchitis, Diabetes	} Instance values of Indication
Contra-Indication:	Cardiovascular disease, Breast carcinoma	
		} Instance values of Contra-Indication

Entity	Synonyms
Adverse Effect:	Side effect, adverse reaction, adverse event, AE
Condition:	disease, finding, disorder
Drug:	medicine, meds, medication, substance
Precaution:	caution, safe to give
Dosage:	dosing
Dose adjustment:	dose modification, dosing modification, dose reduction

# Bootstrapping the conversation space: Building dialog

- Dialog tree
  - Defines the space of user utterances the system can recognize and respond to
  - Enables interactive experience for the user
  - Responses conditioned on
    - Combination of intents and entities identified in the user utterance
    - Context captured from previous utterances



Designed to handle both domain specific requests and general conversation management

# IBM Micromedex (MDX)

An evidence-based clinical decision support application

- Summary and expanded content from the world's biomedical literature
- Includes drug information, toxicology, diseases and conditions, and alternative medicine

## MDX ontology

- 59 Concepts, 178 Properties, and 58 Relationships

## Conversation space

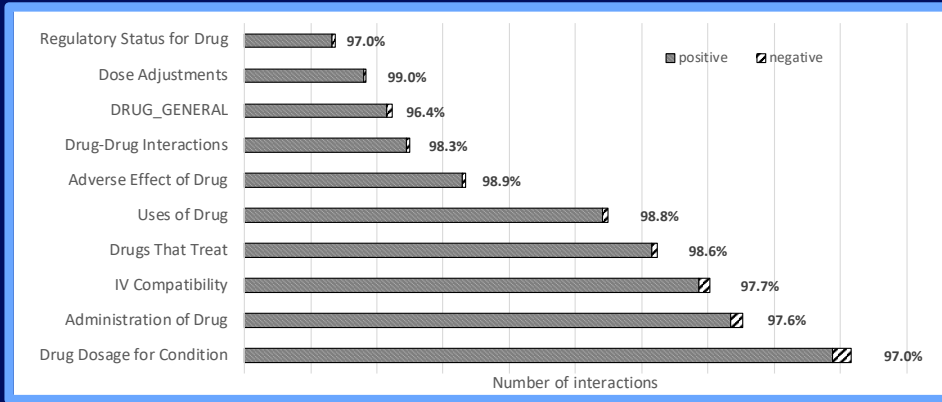
- 36 intents
- 14 lookup and 8 relationship patterns
- 14 intents for conversation management
- 52 Entities and corresponding data instances

The image displays the IBM Micromedex (MDX) website and its Watson Assistant interface. The website header includes navigation links: Home, Drug Interactions, IV Compatibility, Drug ID, Drug Comparison, CareNotes, RED BOOK, Other Tools, and Admin. A search bar is prominently featured. Below the search bar, there are sections for 'Latest News' (listing Fetroja(R), Brulasa(TM), and Talicia(R)), 'Support & Training' (listing Citing Micromedex, Clinical Consulting & Services, Integrated Content Options for MU & More, Product User Tips & Quick Answers, Training Center, and User Guide), and 'Resources' (listing Black Box Warnings, Comparative Tables, Do Not Confuse Drug List, Drug Classes, Drug Consults, and REMS). A 'Download Mobile Apps' button is also present.

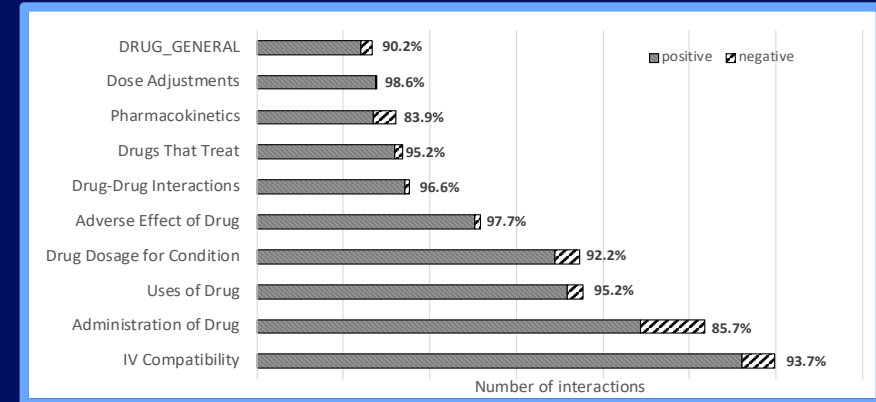
The Watson Assistant interface is shown in two panels. The left panel shows a conversation where the user asks 'what treats headache' and the assistant responds with a list of drugs used for adult headache, categorized by 'Effective' and 'Evidence favors efficacy'. The right panel shows a conversation where the user asks 'What is the dosage for aspirin?' and the assistant responds with the adult dosing for aspirin, including a table of dosages and a link to 'Quick Answers Adult Dosing for Aspirin' and 'In-Depth Answers Adult Dosing for Aspirin'. Both panels include a 'Was this helpful?' feedback button and a 'Type something...' input field.

# Conversational Micromedex Works Great!!

Success rate per intent provided by users for top 10 intents



Success rate per intent provided by SMEs for top 10 intents



Average success rate across all intents 96.3%

Top 10 intents account for 75% or workload

Overall F1-Score of Intent identification 85%

# Conclusions

- Our ontology-based framework provides sufficient semantic information for building an effective conversation system
- Our bootstrapping mechanism creates a rich conversation space
- Access to prior user queries and SME knowledge further improves the precision
- Our results show that the overall conversational system is very effective in exploring knowledge bases
  - Average success rate across all intents is 96.3%



